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Patentanmeldung Nr. Patent application No. Demande de brevet n°

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Semiconductor device identification apparatus

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Description

Semiconductor device identification apparatus

5 The present invention relates to a semiconductor device identification apparatus for reading an identification pattern from the surface of a semiconductor device in a processing tool, said identification pattern comprising a matrix of holes or elevations formed in said surface.

10

In semiconductor device manufacturing - in particular semiconductor wafers - it is often necessary to track single devices or lots through their sequence of processing steps. For this reason, each device is marked with a device identification number comprising, e. g., the lot name and the device
15 number. In case of entering a processing tool, the device identification supplied on the device surface is read out in order to check, whether the correct device is currently being processed, and perhaps information is retrieved from a database, whether specific actions are to be taken for the current device.
20

In the case of semiconductor wafers as an example, single wafer tracking is enabled by engraving the wafer identification
25 onto the wafer backside surface in, e.g., the form of a dot matrix by use of a laser. A laser spot engraves a hole at a specified position in the matrix on the device surface, e. g. representing a binary "one". If a matrix element position is free of an engraved laser dot, a binary "zero" is provided,
30 then. Thus, the binary dot matrix can be read out and be interpreted in terms of an identification number.

Alternatively, the matrix of dots directly corresponds to a structure providing a number or character when being recognised.
35 Commonly, the device identification number is first read out by use of a CCD-camera, and second, identified by

using a pattern recognition algorithm in an image processing unit.

5 In the same way, it is also possible to structure elevations on the device surface, e. g. with the help of a laser depositing some material at predefined matrix positions. The read-out process and the recognition is then performed in the same way as described above.

10 The approach using a CCD-camera has several disadvantages under productive circumstances. For example, the read-out rate of the engraved dot matrix can be too low originating from discoloration of the surface leading to ring-like structures on the surface layer of the semiconductor wafer. Such a ring
15 running through a laser dot or hole can result in a non-detection of the corresponding matrix element, thereby issuing an error signal if the corresponding wrong wafer identification is currently not expected at the processing tool.

20 Moreover, dust particles due to previous processing may have deposited inside the engraved holes, thereby additionally decreasing the colour contrast, which is necessary for the optical CCD-camera approach. Also, deposited oxide layers lead to a reduction of the depth of the holes obliterating the
25 sidewall contrast for the CCD-camera. In such cases, only concave surfaces indicate the existence of underlying laser-engraved holes.

In order to circumvent these disadvantages, an apparatus using
30 a laser emitting coherent light onto the laser dots or holes and a detector array receiving the light that is scattered at the concave sidewalls of laser holes being covered with an oxide layer is provided in DE 197 52 663 A1. There, a laser hole, or pattern, is recognized by an enhanced contrast
35 due to the surface having plain, concave, or convex structure, thereby concentrating - or diluting, respectively - the light scattered onto the array. Due to the enhanced contrast

a contrast-rich surface map of the wafer can be established by scanning the surface portion under investigation. The map of scattered light can then be evaluated into a potential map of the surface. Using an image processing unit with a pattern
5 recognition algorithm, the wafer identification can then be performed.

Unfortunately, this approach becomes particularly advantageous only in the case of the laser holes being obliterated
10 by deposition of an oxide layer. If alternatively the laser inscription itself is not deteriorated, but rings of discoloration only impose changes of surface characteristics, the scattering approach becomes inefficient. Moreover, efforts have to be spent in order to calculate the potentials of the
15 laser dots, and in case of dense matrices it is questionable whether a unique potential solution can be expected. In the case of steep sidewalls of the laser holes, the contrast even reduces due to the lack of convex or concave surface elements.

20

It is therefore a primary objective of the present invention to provide a semiconductor device identification apparatus that reduces erroneous reading of device identification patterns due to discolorations, while providing a high image
25 pattern contrast for a large range of dot profiles.

The objective is solved by a semiconductor device identification apparatus for reading an identification pattern from the surface of a semiconductor device, said identification pattern comprising a matrix of holes or elevations formed in
30 said surface, comprising a source of radiation, for irradiating said holes or elevations, a sensor for detecting the radiation being reflected from said surface and said holes or elevations, a means for determining the distance of said sensor to said semiconductor device surface, at least one motor
35 for moving said sensor in at least one distance level above

said surface, a control unit for controlling said movement due to said at least one motor.

The present invention aims at deriving three-dimensional information of the device identification pattern area. For this purpose, a means for determining the distance of the sensor to the semiconductor device surface, or the holes or elevations respectively, is provided. The distance measurements can be performed either by individually scanning the surface for retrieving local height levels of the surface, or the holes or elevations, or by performing multi-directional distance measurements from a predefined set of sensor positions. The first apparatus is more appropriate for sources of radiation working with light, while the latter aspect is convenient for acoustic radiation.

Having obtained a distance map of the identification pattern surface, a three-dimensional surface map can be calculated, if the three-dimensional positions of the measuring sensor are known, each. The corresponding information is provided by the control unit that controls the movement of the sensor performed by the motor, and the sensor, which provides distance raw data, which still have to be evaluated to real distance data. In case of a non-vertical direction of light being received by the sensor, directional information is also needed. In this case, the control unit also maintains the movement of the direction of the optical path leading to the sensor.

Because the present invention works irrespective of colours, the problem of discolorations due to previous processes leading to erroneous wafer identification reading is advantageously circumvented. The wavelength of the light used is not important for the present invention to work accurately. Although monochromatic light is preferred, multi-frequency radiation is generally possible.

Also, the obliteration of the laser holes with dust or oxide layers on the one side or no contaminating particles on the other side do not put restrictions to the present invention. The present invention does not depend on the exact form of the surface profile. Only the distances are measured, and as long as height differences are immanent in significant amounts, the corresponding distance differences can be measured and the holes are detected. Therefore, the present invention offers a high variability and is not restricted to specific laser hole sidewall geometric forms. Rectangular steps as well as smooth concave or convex transitions can be detected by their distance to the sensor.

In a further aspect, the radiation is considered to be a concentrated beam of light and the corresponding spot diameter is considered to be smaller than the diameter of the structure to be measured, i. e. the hole or elevation. In a preferred configuration, the source of radiation is a laser. Using then a spot of this size, the profile of the laser hole can be scanned by subsequent measurements of the distance to the sensor, which preferably is moved across the surface in a horizontal direction. In a further aspect, the sensor is considered to be a position-sensitive device (PSD), which receives light through an optical system by means of a beam splitter. Such configurations for arbitrary distance measurements are commonly available and offer sufficient precision for performing distance measurements of pattern sizes provided for the present apparatus.

In a further aspect, the image processing unit includes a pattern recognition means for identifying the identification pattern. There are two approaches possible: first, expected three-dimensional patterns, i. e. laser hole forms, as reference patterns are compared with structures in the three-dimensional image, or second, the three-dimensional image is reduced to a two-dimensional image by applying a threshold height value. Any height value above this threshold is asso-

ciated with a binary "one", and those values below this threshold are associated with a binary "zero". Since the laser engraving procedure also simply structures just a two-dimensional pattern onto the surface, the latter approach
5 seems to be more appropriate.

In a further aspect, the identification pattern is considered to be a barcode using such a identification pattern, adjacent laser holes are connected or at least provide a common structure, if taken together. The pattern recognition software
10 here has the advantage that a restricted amount of structures is possible in the dot matrix. All bars have the same length (with a few exceptions perhaps at the border) and only a distinct choice of widths is provided.

15 As mentioned before, a further approach is the use of acoustic waves for the radiation. Due to the limited velocity of these waves, run time differences can be measured due to which a distance measurement is possible. A three-dimensional profile of the identification pattern surface can be gained
20 by locally emitting and receiving acoustic waves and the run time difference provides the nearest distance of a surface element. This approach requires minimum size emitters and sensors.

25 Another approach is to emit acoustic waves at three different positions above the identification pattern surface, and then recording the signal of reflected waves as a function of time. Recovering the surface profile from these reflected
30 signals as a function of time involves some effort in computation but which are well-known in the art.

A method of identifying a semiconductor device using the apparatus of the present invention is also provided, comprising
35 the steps of moving the source of radiation and the sensor to a first position above an identification pattern matrix of holes or elevations.

first spot on the surface using the source of radiation, detecting the radiation which is reflected from said spot on the surface using the sensor, determining the first distance of said surface spot to said sensor using the means for determining the distance, repeating the steps described above for determining further distances of further surface spots to said sensor position, generating a three-dimensional image of surface heights from the distances as a function of position, and using a pattern recognition algorithm to identify said identification pattern matrix of holes or elevations.

By this method according to the present invention, the surface is scanned by distance measurements resulting in a three-dimensional image of surface height as a function of position, which advantageously can be input to an image processing unit for being applied to a pattern recognition algorithm for identifying the identification pattern of the semiconductor device.

Further aspects and advantages are evident from the dependent claims.

The invention will be better understood by reference to the following description of embodiments of the invention taken in conjunction with the accompanying drawings, wherein

figure 1 shows a stage with the sensor and radiation source scanning a semiconductor device having an identification pattern with laser dot holes,

figure 2 shows a side view of a laser dot hole, which is scanned with a laser and a sensor,

figure 3 shows an embodiment of the apparatus using a position-sensitive device,

figure 4 shows a top view of the stage being mounted on guide rails driven by motors,

figure 5 shows a flowchart performed by the method of the present invention.

The source of radiation 4, which is a laser, and a sensor 5 are mounted on a stage 10 as shown in figure 1. The stage 10 provides a scanning movement in both x- and y-direction at a constant height level above a semiconductor device 2 having a surface 2a, on which an identification pattern 1 is structured by an arrangement of laser-engraved holes 3. The semiconductor device 2 according to this embodiment is a semiconductor wafer and the surface 2a is the wafer backside surface, and the identification pattern 1 is to be read out for performing an identification in, e.g., a sorter or another semiconductor processing tool.

A side view of the scanning procedure is shown in figure 2. For illustrating the distance measurement, a cut is performed through the position of a hole 3 being engraved into the surface 2a. Two scanning steps having a scanning pitch 24, e. g. the horizontal distance between two laser beams, are visualized in figure 2. The source of radiation, i. e. the laser according to this embodiment, concentrates its laser beam in a vertical direction directly onto the surface 2a or hole 3, respectively, below the laser 4. In order to scan the hole profile, the laser spot diameter 20 has a width of 10 nm, which is considerably smaller than the laser-engraved hole diameter 21 of 100 μm .

In figure 3, the apparatus according to an embodiment of the present invention is shown in detail. A system of optical lenses 70, 71 focuses light emitted at a wavelength of 780 nm from a laser diode 4 onto a laser spot at the surface 2a of a semiconductor wafer 2 being provided with holes 3 of an iden-

optical lenses 70, 71 onto a beam splitter 30 diffracting the beam onto a spot residing on a position-sensitive device 5.

The position-sensitive device 5 is connected to a means for determining the distance 6 of the surface 2a to the sensor 5. There are at least two possibilities, or embodiments, how the apparatus works measuring the distance:

1.) A distance is measured by means of a auto-focus measurement. If the laser spot on the surface 2a runs out of focus due to a hole 3 or an elevation, the spot reflected through the optical lenses 70, 71 and the beam splitter 30 onto the position-sensitive device 5 becomes blurred or widened and the corresponding widening shift of the spot, or the intensity or gradient, can be measured across the position-sensitive device (PSD-) array 5. The means for determining the distance 6 is a processing unit receiving these array data, which calculates the gradient or changed local intensity across the array into a defocus value, i.e. the amount of deviation current focus position from the corresponding surface position as being pointed to by the beam. A corresponding signal sent to the control unit 8, which provides a movement of either one of the optical lenses 70 for establishing an optimum focus by means of the motor 7, or changes the distance of the complete system, i. e. the optical lenses 70, 71, the beam splitter 30 and the position-sensitive device 5 from the surface 2a. In a vertical movement (horizontal in figure 3), the means for determining the distance 6 can determine the distance from the knowledge of the amount of defocus.

2.) Due to a surface inclination 200 when entering a hole 3 on the surface 2a, the reflected beam is somewhat shifted which leads also to a shift of the spot on the position-sensitive device 5 (PSD). The corresponding shift in the array is then detected by the means for determining the distance 6 and is converted into a distance value.

Using one of the two approaches, the means for determining the distance 6 forwards the distance data to the image processing unit 9 and the control unit 8 moving the optical system forwards positional data to the image processing unit 9 as well. The positional information and the distance information are combined into a three-dimensional image of the surface structure 2a in the identification pattern area. For each x- and y-coordinate, the distance value is converted into a height value of the local surface area covered by the laser spot. If the distance of the position-sensitive device 5 from the surface 2a is constant throughout all distance measurements, this procedure is straightforward. If the distance has changed, particularly in the case of an autofocus measurement, where the optical path length is held constant instead of the metric distance, the vertical height corrections by means of motor 7 or either the optical lens 70 or the complete optical system 70, 71, 30, 4, 5 are to be summed up by control unit 8 or the means for determining the distance 6. The same is valid in the case of measuring the inclination if the optical system follows the surface profile by vertical height corrections. Here, the height changes are also to be summed up for adjacent measurements.

The image processing unit 9 stores the images in a database 50 and eventually uses a pattern recognition means 100 according to the method of the present invention for recovering a two-dimensional pattern of the wafer backside surface in order to identify the wafer.

The system has a measuring range in vertical height direction 23 of $\pm 150 \mu\text{m}$ as compared to a depth 22 of holes 3, which amounts to $100 \mu\text{m}$ if no dust or oxide layers are deposited inside holes 3.

The technique of moving the stage 10 across the identification pattern 1 on surface 2a is illustrated in FIG. 1.

apparatus comprises guide rails 11, 12 which are each driven by motors 7a, 7b. The guide rails 12 are mounted on a frame 60 shown in the top view of figure 4. Guide rails 11 are mounted on these guide rails 12 fully disposable by means of motor 7b, which moves the guide rails 11 along guide rails 12 by means of a metal band structured similarly as in floppy disk drives. Guide rails 11 are mounted on a motor stage 61 holding the second motor 7a, which has a similar structure as motor 7b with metal bands, which by being unrolled provide a movement of the stage 10, to which the metal band is connected.

Stage 10 is also mounted being freely disposable on guide rails 11, thus providing a horizontal adjustment in a second direction 11a, additionally to the first direction 12a provided by the movement of the motor stage 61 along guide rails 12.

The method according to the present invention is illustrated in figure 5. After providing the semiconductor wafer 2 to the apparatus and aligning it, such that the identification pattern 1 takes in a defined position in front of the frame 60 with the sensor and source of radiation having a default position, the apparatus starts scanning the surface 2a comprising holes 3 of the identification pattern 1 at a scan rate of 30 kHz. The identification pattern 1 comprises an area of 3.2 mm x 1.6 mm, such that the pitch or step width 24 amounts to 50 μ m. Using the frame 60 of the present apparatus, its central opening area comprises 5 mm x 2 mm.

Using the apparatus according to the present invention, a digitalized three-dimensional image is obtained by means of the means for determining the distance 6, for obtaining height values, the control unit 8 for obtaining positional data, and the image processing unit 9 combining these data. Applying a threshold level to the height values, a binary one is associated with all positional address values having a

height value above this threshold level, and a binary zero with height values below this level. Thereby, the three-dimensional image is reduced to a two-dimensional image comprising areas associated with the one and with the zero
5 value. The threshold value may be iteratively adjusted together with the following pattern recognition algorithm in order to obtain legible structures.

If the pattern recognition algorithm only expects binary-
10 "one"-squares as reference patterns, these will eventually be recovered from the reduced two-dimensional image, and the corresponding matrix filling can be evaluated to provide the wafer identification number.

Claims:

1. Semiconductor device identification apparatus for reading
an identification pattern (1) from the surface (2a) of a
5 semiconductor device (2), said identification pattern (1)
comprising a matrix of holes (3) or elevations formed in said
surface (2a), comprising:
 - a source of radiation (4), for irradiating said holes (3)
or elevations,
 - 10 - a sensor (5) for detecting the radiation being reflected
from said surface (2a) and said holes (3) or elevations,
 - a means for determining the distance (6) of said sensor (5)
to said semiconductor device surface (2a),
 - at least one motor (7) for moving said sensor (5) in at
15 least one distance level above said surface (2a),
 - a control unit (8) for controlling said movement due to
said at least one motor (7).
2. Apparatus according to claim 1,
20 c h a r a c t e r i z e d b y
an image processing unit (9) for generating a three-
dimensional image (10) of said surface (2a) with the matrix
of said holes (3) or elevations, which is connected to
 - said means for determining the distance (6) for acquiring
25 distance data,
 - said control unit (8) for acquiring positional data of said
sensor (5).
3. Apparatus according to anyone of claims 1 or 2,
30 c h a r a c t e r i z e d i n t h a t
said radiation has a spot diameter (20) of less than the di-
ameter of said holes or elevations (21).
4. Apparatus according to claim 3,
35 c h a r a c t e r i z e d i n t h a t
said source of radiation (4) is a Laser.

5. Apparatus according to anyone of claims 1 to 4,
c h a r a c t e r i z e d i n t h a t
said sensor (5) is a position sensitive device receiving re-
flected light by means of a beam divider (30).

5

6. Apparatus according to claim 2,
c h a r a c t e r i z e d i n t h a t
said image processing unit (9) includes a pattern recognition
means (100) for identifying said identification pattern (1).

10

7. Apparatus according to anyone of claims 1 to 6,
c h a r a c t e r i z e d i n t h a t
said matrix of holes (3) or elevations represents a bar code
on said semiconductor device (2).

15

8. Apparatus according to claims 3 and 4,
c h a r a c t e r i z e d i n t h a t
- said laser spot diameter (20) ranges from 4 μm to 20 μm ,
- the vertical measurement range (23) of said laser is 300
20 μm ,
- the vertical height (22) of at least one of said holes (3)
or elevations is more than 80 μm ,
- the diameter of at least one of said holes or elevations
(21) is more than 80 μm .

25

9. Apparatus according to anyone of claims 1 to 6,
c h a r a c t e r i z e d b y
- a stage (10) carrying said sensor (5),
- at least one first guide rail (11), at which the stage (10)
30 is mounted being freely disposable in a first direction
(11a),
- at least one second guide rail (12), at which said first at
least one guide rail (11) is mounted being freely dispos-
able in a second direction (12a),
35 - a first motor (7a) for providing a movement of said stage
(10) with said sensor (5) along the first direction (11a)

- a second motor (7b) for providing a movement of said stage (10) with said sensor (5) along the second direction (12a) of said at least one second guide rail (12).

5 10. Apparatus according to anyone of claims 1 or 2,
c h a r a c t e r i z e d i n t h a t
said source of radiation (4) emits acoustic high-frequency
waves.

10 11. Method of identifying a semiconductor device using the
apparatus according to anyone of claims 1 to 9, comprising
the steps of:

- a) moving the source of radiation (4) and the sensor (5) to a
first position above a identification pattern (1) matrix
15 of holes (3) or elevations,
- b) irradiating a beam of radiation onto a first spot on the
surface (2a) using the source of radiation (4),
- c) detecting the radiation, which is reflected from said spot
on the surface (2a) using the sensor (5),
- 20 d) determining the first distance of said surface spot to
said sensor (5) using the means for determining the dis-
tance (6),
- e) repeating steps a), b), c) and d) for determining at least
a second distance of at least a second surface spot to
25 said sensor (5) having at least a second position,
- f) generating a three-dimensional image of surface height
from the at least first and second distance as a function
of the at least first and second position,
- g) using a pattern recognition algorithm (100) to identify
30 said identification pattern matrix of holes (3) or eleva-
tions.

12. Method according to claim 11,
c h a r a c t e r i z e d b y

- 35 applying a threshold value to said three-dimensional image
before using the pattern recognition algorithm (100) for as-

sociating a binary value with each of the at least two positions on said surface (2a).

13. Method according to anyone of claims 11 or 12,
5 c h a r a c t e r i z e d b y
scanning a portion of said semiconductor device surface (2a) comprising said identification pattern (1) with said source of radiation (4) and said sensor (5) for obtaining a three-dimensional image of said surface (2a) portion, the spots
10 having a pitch (24) between 20 μm and 50 μm .

14. Method according to claim 13,
c h a r a c t e r i z e d i n t h a t
the rate of scanning said portion with adjacent spots is more
15 than 20 khz and less than 40 khz.

Abstract

Semiconductor device identification apparatus

5 A three-dimensional image of a semiconductor device identification pattern (1) is obtained by measuring the distance of
at least one sensor (5) to the surface (2a) of said semiconductor device (2). The apparatus comprises a source of radiation (4), preferably a Laser, for deriving the distance from
10 properties of the reflected light. A means for determining the distance (6) and an image processing unit (9) are used to establish the three-dimensional picture. Positional information can be achieved in a scanning movement from motors (7) being controlled by a control unit (8). By applying a threshold value, a two-dimensional image is derived and by means
15 of a pattern recognition algorithm the identification pattern (1) can be analyzed in terms of, e.g., a semiconductor device identification. The apparatus advantageously solves the problem of discolorations due to previous processing in the case
20 of semiconductor wafers, and is capable of addressing the problem of oxide layer obliteration of, e.g., Laser-engraved holes (3). Acoustic waves can alternatively be used as radiation. In this case the scanning method can be substituted by measuring runtime-differences from a set of fixed positions,
25 and applying seismic reflection algorithms to recover a three-dimensional profile.

Figure 3

List of references numerals

- 1 identification pattern
- 2 semiconductor device, wafer
- 5 2a device surface, wafer surface
- 3 laser dot holes of identification pattern
- 4 source of radiation, laser
- 5 sensor, position-sensitive device
- 6 means for determining the distance
- 10 7 motor
- 7a motor, x-direction
- 7b motor, y-direction
- 8 control unit for motor
- 9 image processing unit
- 15 10 stage carrying sensor and source of radiation
- 11 guide rail, x-direction
- 11a x-direction
- 12 guide rail in y-direction
- 12a y-direction
- 20 20 laser spot diameter
- 21 hole diameter
- 22 hole depth
- 23 focus range, measuring range
- 24 pitch, step width
- 25 30 beam splitter
- 50 database
- 60 frame
- 61 motor stage
- 70 optical lens, movable for autofocus
- 30 71 optical lens
- 100 pattern recognition algorithm
- 200 inclination

Fig. 1

08. Okt. 2001

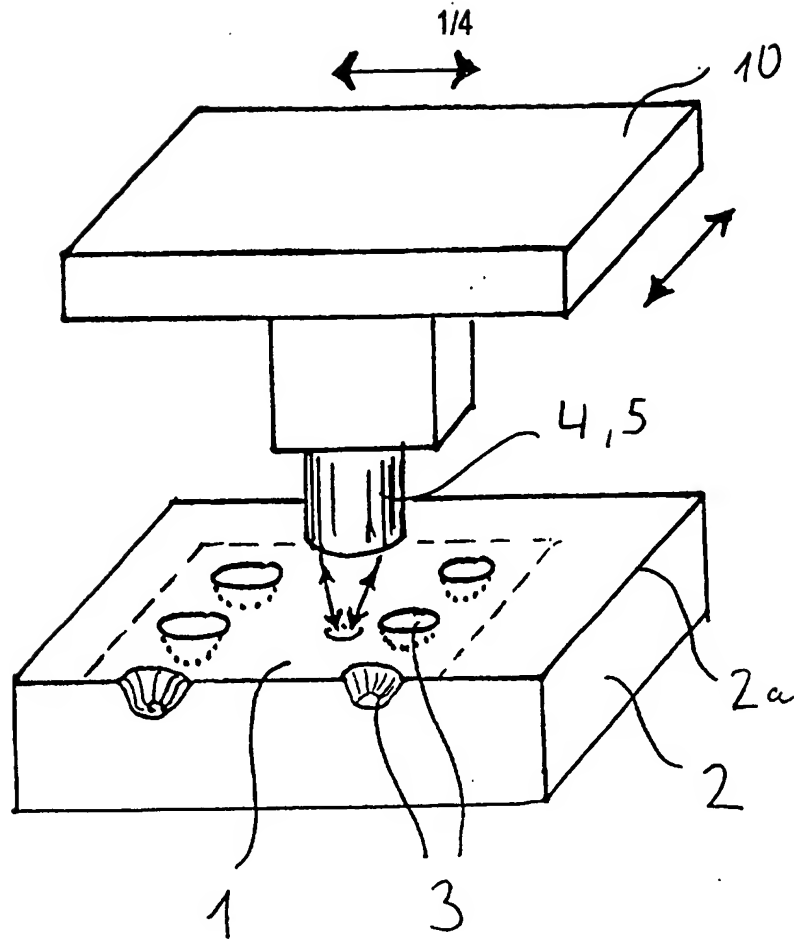


Fig. 2

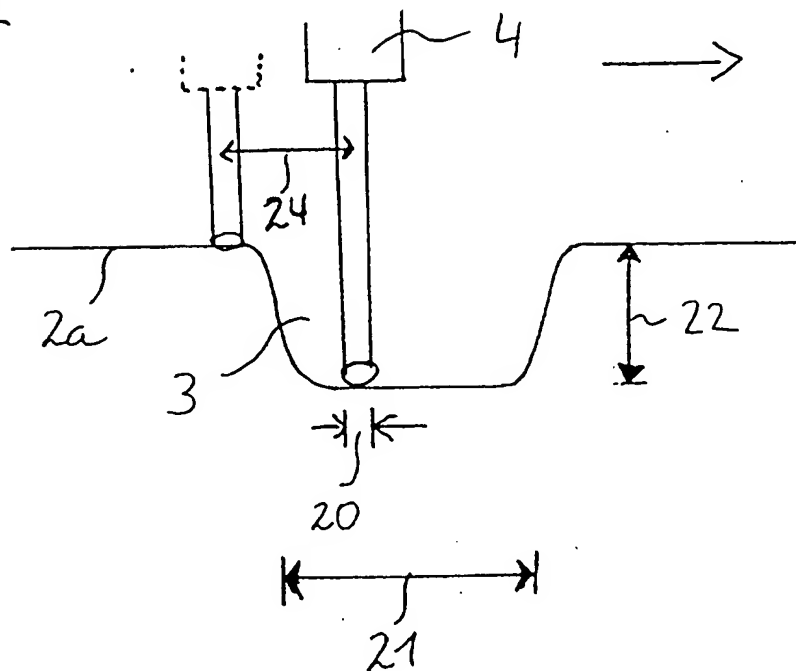
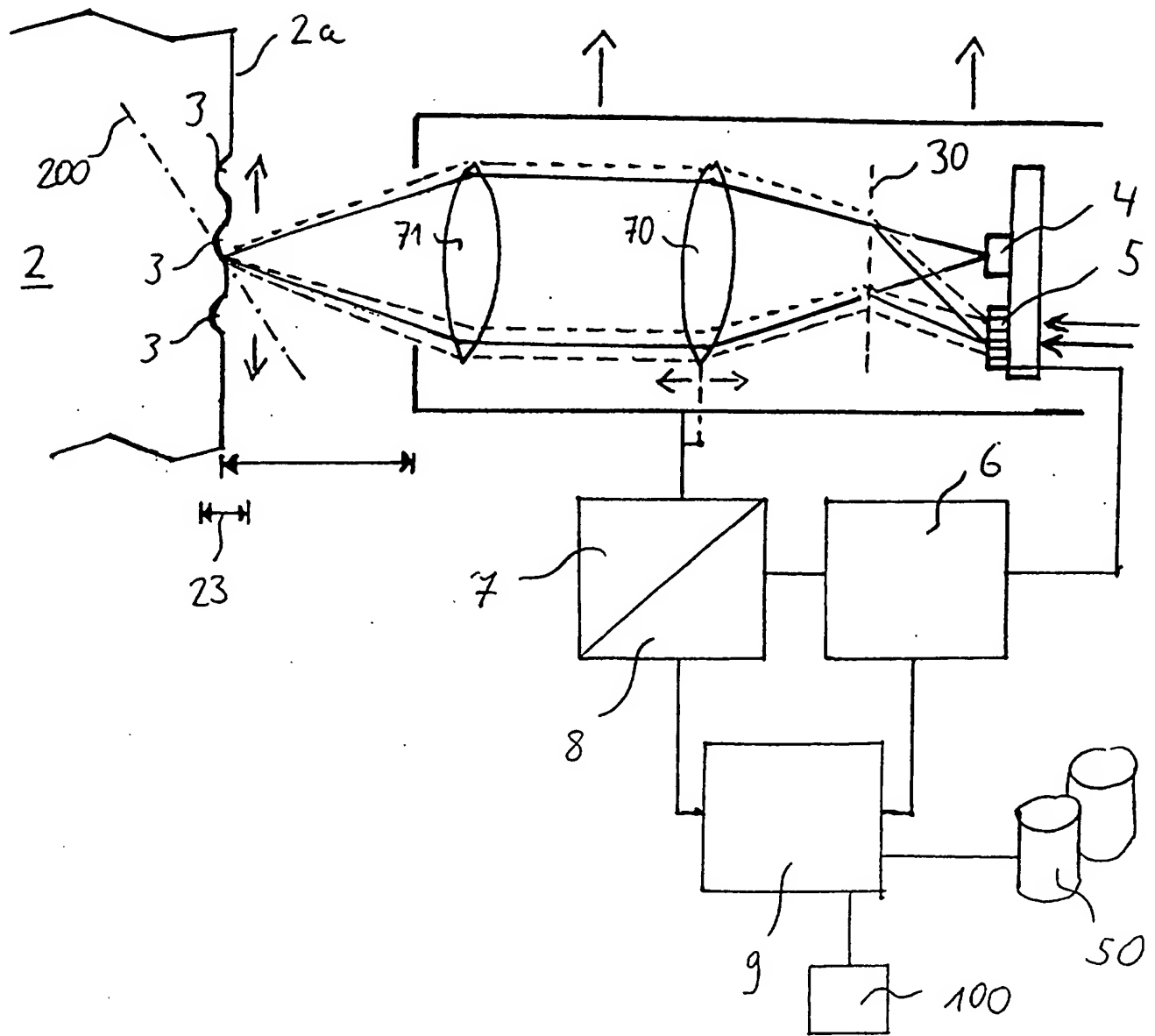


Fig. 3

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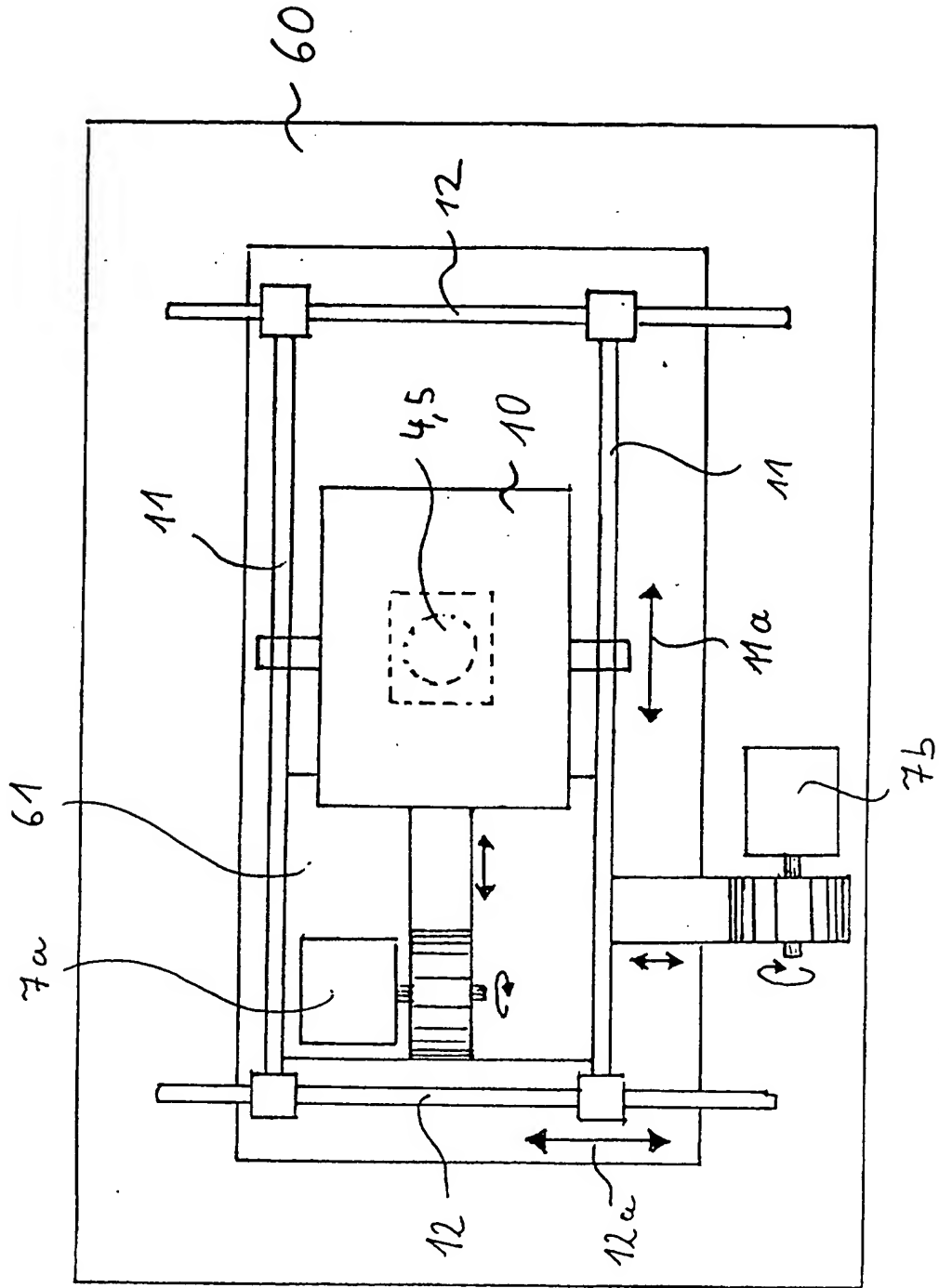
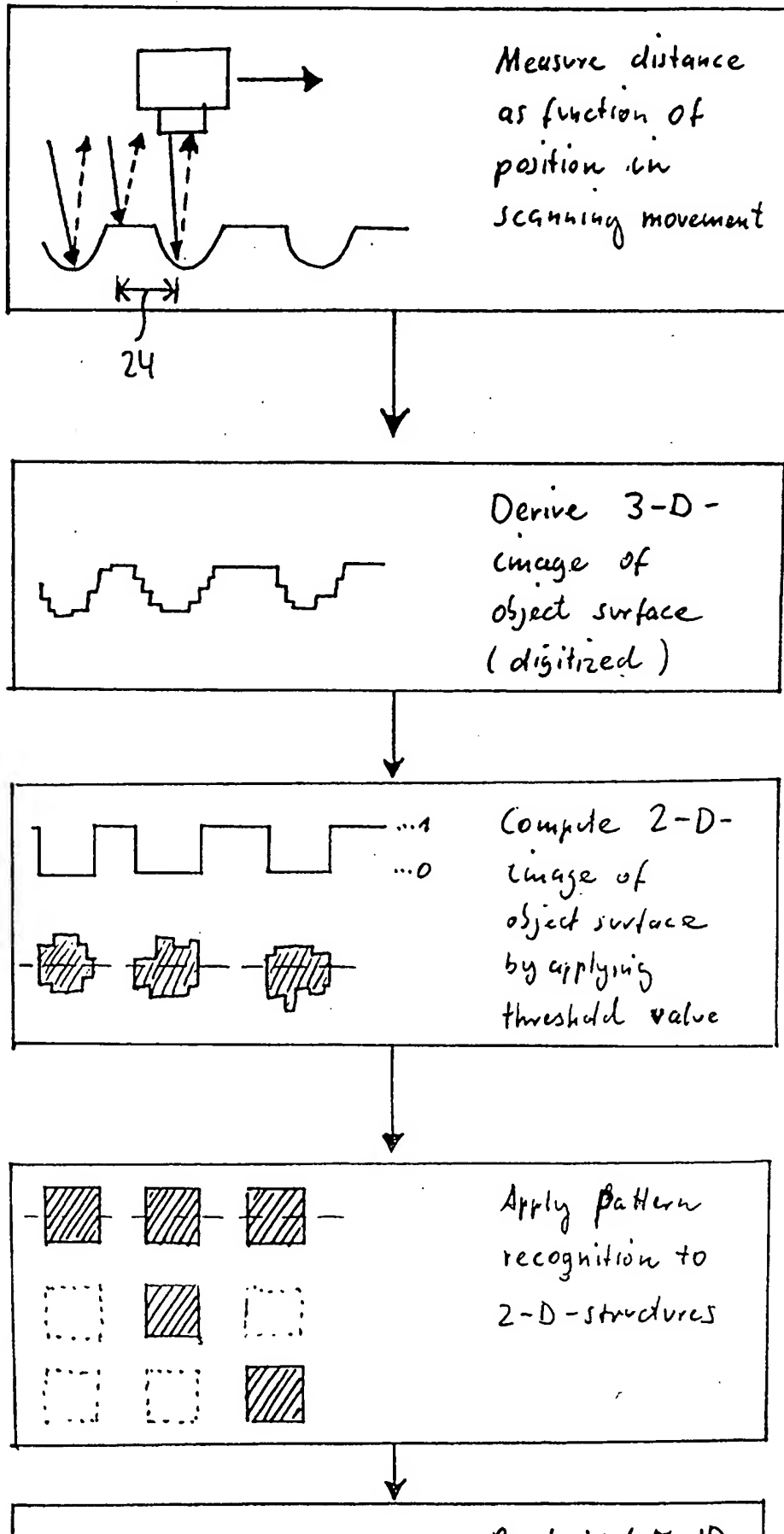


Fig. 4

Fig. 5

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